

Consolidated Edison Company of New York, Inc.

Request for Proposal (RFP)

Non-Wires Alternatives to Provide Demand Side Management for Transmission and Distribution System Load Relief

Hudson Network

ISSUED: WEEK OF APRIL 24, 2017
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Table of Contents

INTRODUCTION	,
Background	
DEFINITIONS	
REQUEST FOR PROPOSAL PURPOSE	
Non-Wires Alternatives High Level Process	5
NON-WIRES ALTERNATIVE PROJECT DESCRIPTION HUDSON NETWORK- NEW YORK, NEW YORK	ε
Project Description	ε
Area of Need	θ
Overload Profiles	
CUSTOMER PROFILE	
NON-WIRES ALTERNATIVE SOLUTION REQUIREMENTS	8
PROFESSIONAL BACKGROUND AND EXPERIENCE WITH THE PROPOSED SOLUTION	8
Proposed Solution Description	8
DETAILED PROJECT PLAN AND TIMELINE TO IMPLEMENT SOLUTION	g
DETAILED COSTS ASSOCIATED WITH PROPOSED SOLUTION	10
Non-Wires Alternative Solution Questionnaire	10
PROPOSAL EVALUATION APPROACH	10
Proposal Criteria	11
INSTRUCTIONS TO RESPONDENT	12
RFP Schedule	12
CONTACT INFORMATION AND QUESTIONS	12
Proposal Submittal Instructions	13
Proposal Response Format	13
Cover Letter	14
Respondent Checklist	14
Table of Contents	14
Executive Summary	14
Proposal	14
Assumptions & Exceptions	14
Glossary of Terms	15
Non-Wires Alternative Solution Questionnaire	15
RFP TERMS AND CONDITIONS	15
QUALIFICATIONS OF RESPONDENTS	15
Proprietary Information	15
COST OF PROPOSAL PREPARATION	16
RIGHT TO REJECT	16
Privision to the PED	16



Basis of Proposal Award	16
DURATION OF THE CONTRACT	17
NON-WIRES ALTERNATIVES PROGRAM AGREEMENT	
Underperformance	17
Security	17
Subcontracting and Assignment	17
APPENDIX: RESPONDENT CHECKLIST	18



Introduction

Consolidated Edison Company of New York, Inc. (the "Company" or "Con Edison") is extending a request for proposal ("RFP") for the submission of proposals from qualified and experienced vendors ("Respondents") with the capability to deliver innovative Distributed Energy Resource ("DER") solutions that provide transmission and distribution system load relief through non-wires alternatives.

Background

Consolidated Edison, Inc., is one of the nation's largest investor-owned energy companies providing electric service to approximately 3.3 million customers and gas service to approximately 1.1 million customers. Consolidated Edison, Inc., provides a wide range of energy-related products and services to its customers through its two regulated subsidiaries: Con Edison, which provides electric, gas and steam services to New York City and Westchester County; and Orange & Rockland Utilities, Inc., which provides electric and gas services in Rockland County and Orange County of New York State, and also parts of New Jersey and Pennsylvania.

Definitions

Non-Wires Alternative: A solution proposed in an identified area as an alternative to a traditional infrastructure resolution for a distribution or transmission problem. Non-wires alternatives may be a singular or portfolio of multiple DERs.

Distributed Energy Resource ("DER"): Energy efficiency, demand response, distributed generation, or other resources that prove to be feasible for the identified area of need.

Respondent: A person and/or entity, or a representative thereof, replying to this RFP.

Benefit-Cost Analysis (BCA): A BCA will be applied to potential non-wire alternative solutions. A <u>BCA Handbook</u> was developed in collaboration with the New York Joint Utilities to provide consistent and transparent statewide methodologies that calculate the benefits and costs of potential projects and investments.

Request for Proposal Purpose

This RFP solicits responses from Respondents that state an interest and have qualifications to supply Con Edison with solutions for load relief for the non-wires alternative project described below. To assist Respondents, this RFP provides information on the specific non-wires alternative project and also provides requirements that Respondents must follow to submit a proposal.

This RFP is open to all DER approaches that display the potential to provide load relief in the areas identified. Proposed solutions should decrease peak load demand and increase reliability at the lowest reasonable cost possible. Con Edison will attempt to build a portfolio of projects that could diversify project execution risks and maximize benefits to customers.

Con Edison expects that each RFP response should at a minimum outline a Respondent's suggested approach, load relief impact, cost for completing the project, project plan or proposal, and a timeline for implementation as outlined in the Non-Wires Alternative Solution Requirements section of this RFP.

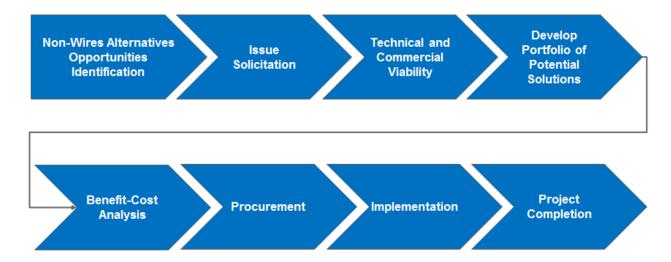


Responses must also include an hourly impact analysis resulting from the proposed DER solution, as well as a fully completed **Non-Wires Alternative Solution Questionnaire (Attachment A)**.

Respondents are expected to be financially and technically capable of developing, constructing and operating those projects recommended such that the anticipated benefits can be realized. Con Edison will make reasonable efforts to evaluate each Respondent's solution in a manner that equitably balances that solution against the solutions proposed by other Respondents. In addition, Respondents are proposed the same Non-Wires Alternatives Program Agreement (the "Agreement") containing equivalent terms and conditions and Con Edison may elect to compare responses to the terms and conditions of the Agreement. If Con Edison enters into a contract with a Respondent, then the Respondent will be subject to additional verification milestones to ensure that the Respondent is on track to provide contracted load relief. With regard to any contract entered into with a Respondent to implement a solution, Con Edison may terminate that contract if Con Edison deems that demand reduction goals are not likely to be achieved.

Non-Wires Alternatives High Level Process

The process shown below is an example of the high-level steps that occur during the identification of non-wires alternative solutions, as well as the evaluation, implementation, and verification of the identified solutions. Please note that there are multiple actions that take place between each step to move non-wires alternative projects forward to implementation and verification of load relief achieved.





Non-Wires Alternative Project Description Hudson Network- New York, New York

Project Description

Con Edison has identified its Hudson Network (the "Network") as a candidate for a potential non-wires alternative solution. The network is supplied by the West 50th St Substation. A reduction of 7.1 MWs from the Network by summer 2021 will potentially help address the overload. The traditional solution is to perform feeder upgrades to help address this need. Reducing load at customer locations in the Network through implementation of DERs could allow for potential load relief in the Network.

The Network overload is expected to be the following:

MW need	Overload Period	Peak Hour
7.1	1 PM – 8 PM	5 PM

Note: All hours refer to hour ending (HE), which denotes the preceding hourly time period. For example, hour ending 6 PM is the time period from 5:01 PM to 6:00 PM.

Area of Need

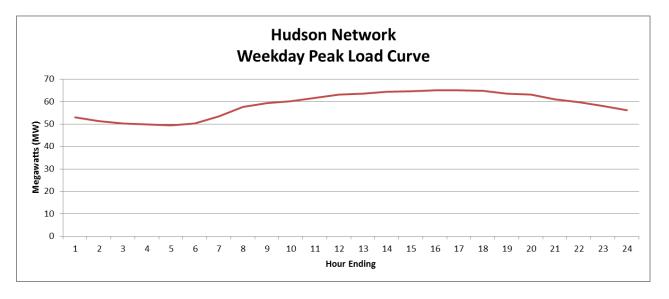
The map below outlining the Network in blue denotes the area where implementation of DERs will provide load relief.





Overload Profiles

The graph below represents the typical weekday load profile of the Network. Network capability cannot be determined as the substation supplying the Hudson network also feeds another network.



Customer Profile

The customer profile below represents the customers in the identified area that would provide load relief to the Network.

Customer Segmentation	Customer Count	Annualized Consumption [kWh]	High Demand 1 Year [kW]	Max Average Billed Demand [kW]
Commerical & Industrial	146	116,193,209	26,445	3,955
Education	5			
Hospital	2			
Large Office	34			
Large Retail	11			
Ware house/Industrial	94			
Multifamily	8,880	89,759,948	14,994	755
Large Multi-Family - Res	8,477			
Multi-Family - Common Area	403			
Residential	346	9,267,413	2,347	1,289
Single Family - Res	23			
Small Multi-Family - Res	323			
Small Business	893	42,509,498	11,870	631
Grocery	27			
Miscellaneous/Entertainment	34			
Nursing Home/Lodging	12			
Restaurant	71			
Small Office	647			
Small Retail	102			
Grand Total	10,265	257,730,068	55,655	3,955



Non-Wires Alternative Solution Requirements

This section outlines the requirements for responses to the RFP. The **Non-Wires Alternative Solution Questionnaire (Attachment A)** should be fully completed and submitted with the Respondent's proposal. Failure to complete the questionnaire in its entirety may result in disqualification. Any additional information that Respondents would like to provide about the proposed solution can be included as an attachment to the RFP response. However, priority will be given to the information contained within the specified RFP format and questionnaire. Respondents should note that if Respondent is selected for implementation of a proposed solution, financial assurances will be required to ensure that the DER measures will be installed and operational on or before the contracted in-service date. Failure to meet contracted milestones may result in underperformance fees.

Professional Background and Experience with the Proposed SolutionRespondents must provide the following:

- Executive Summary of proposal
- Firm's core business and organizational structure
- Project organizational chart and project team resumes
- Financial statements for the past three years, and services offered
- Examples of prior industry specific work that is similar in nature and relevant to the non-wires alternative solution requirements, with particular emphasis on implementation of the solution, such as at other utilities, large municipalities, co-ops, or any other applicable facilities
- Relevant project experience
- Contact information of customers where the solutions have been implemented (at least 3 references)
- Letters of support from customers who plan to implement the solution at their site in the applicable area of need identified (**Note:** customer qualifications will need to be verified and confirmed by Con Edison)
- References which shall include any authorizations necessary for Con Edison to verify Respondent's related previous work
- Specific location of successful technology deployment
- Any other relevant information deemed appropriate and noteworthy supporting and validating the proposed solution

Proposed Solution Description

Project proposals must demonstrate how the proposed solution will achieve the demand reductions sought and maximize value to Con Edison's customers. Detailed project information should include:

- Technology/Solution description (tested and proven or innovative technology)
- Type of contract (e.g., shared savings, performance contract, sale, lease-purchase, power purchase agreement)
- Performance characteristics of the technology
- Description of the flexibility and applicability of the technology



- Hourly electric load reduction impact provided by the solution
- Community and environmental impacts derived from the solution
- Innovation, risks, barriers, challenges, etc.
- Specification and details associated with implementing the proposed solution (e.g., permitting requirements)
- Detailed description of non-energy benefits associated with the proposed solution

The proposal must specify the data (e.g., detailed calculations) and methodology used to determine the estimated demand reduction and annual kWh savings attributable to each DER measure proposed to be installed.

Detailed Project Plan and Timeline to Implement Solution

Proposed DER measures must be in service, and the pledged demand reduction must be guaranteed to commence, by the date(s) specified in the Non-Wires Alternative Project Description section above.

- Responses must contain a detailed plan to implement the solution including:
 - o General scope of work
 - Customer acquisition and marketing plan
 - o Financing, including transaction structures and pricing formulas
 - o Implementation plan and project schedule
 - o Operation and Maintenance plan (if applicable)
- Respondents proposing to market the installation of DER measures to customers should include
 a full and complete assessment of the DER opportunities. At a minimum, this assessment
 should include a description of the markets, such as one-to-four family homes, multifamily
 buildings, small commercial buildings (e.g., retail stores, restaurants), large commercial buildings
 (e.g., office buildings, industrial) and government or institutional buildings (e.g., hospitals,
 hotels, schools, colleges), and the applicable DER measures and technologies to be directed at
 each selected market or customer segment.
- Respondents must illustrate the marketing and sales strategies that will be employed to capture
 the selected market or customer segment and to deliver the demand reductions included in
 their proposals. Preference will be given to Respondents with pre-existing customer agreements
 to deploy the solution upon confirmation by the Company. Marketing and sales plans must be
 expressly approved by the Company.
- The response must contain a detailed measurement and verification ("M&V") plan for verifying
 the solutions load reduction. The plan must include provisions for access by the Company
 and/or its representatives for quality control and quality assurance. Independent M&V may be
 performed at the Company's discretion. The Company's M&V will include, but not be limited to,
 verification of continued operation and maintenance of the DER measures for the applicable
 term.
- Proposals must provide information on elements of the proposal that affect the community (both positive and negative) including, but not limited to, associated GHG emissions, waste streams and management, job creation potential, and community disruption.



• Proposal must outline a detailed timeline from contracting, to implementation and completion of the proposed solution.

Detailed Costs Associated with Proposed Solution

- Respondents must provide a detailed cost breakdown, also requested in the Non-Wires
 Alternative Solution Questionnaire (Attachment A). Detailed explanations and validation of
 such funding strategies will be expected to provide examples which are provable and
 repeatable.
- Respondents should identify other funding streams that may be utilized to mitigate cost impact
 to the Company's customers (i.e., City, State, and Federal funding opportunities). Respondents
 should also identify if private sector funding will be utilized.

Non-Wires Alternative Solution Questionnaire

Respondents have been provided with a **Non-Wires Alternative Solution Questionnaire (Attachment A)** that must be fully completed. Respondents should provide responses in each tab of the file and submit with the RFP proposal. All fields in the questionnaire are critical to allow for a thorough review of a potential non-wires alternative solution, as well as provide information important to the creation of the portfolio of awarded solutions. All tabs within the questionnaire must be fully completed. Failure to submit a fully completed questionnaire may lead to disqualification.

Proposal Evaluation Approach

Solutions proposed in response to this RFP will be reviewed in detail by Con Edison. Con Edison will utilize an evaluation framework to develop the optimal portfolio to address the identified need. Some primary review criteria to be applied to review qualified proposals received are listed below. The review process is intended to be fair and equitable, with the objective being to achieve the greatest overall value.

Respondent should note that although Con Edison will be reviewing Respondent's solution if the submission criteria are met, there is no guarantee that Respondent's solution will be selected. Respondents should also note that each measure of any proposal submitted, whether part of a single-measure proposal or a multiple-measure proposal, will be evaluated against other like measures for equal comparison; and, thereafter, the Company may evaluate all measures in the aggregate in a manner that considers the overall benefit to the Company based on the criteria set forth in this RFP, and to include considerations that could allow for the selection of individual measures across multiple proposals.



Proposal Criteria

Proposals will be evaluated and scored on the basis of the following criteria which are not necessarily listed in order of significance:

Review Approach	Objective
Proposal Content	Information requested has been provided and is comprehensive to allow for evaluation.
Unit Cost	Total Cost, and \$/MW at peak required from Con Ed for the proposed solution.
Benefit-Cost Analysis	The Company is required to utilize a Benefit-Cost Analysis as outlined in the Benefit Cost Analysis Handbook filed in consultation with the NYS PSC. A benefit cost analysis will be applied to the portfolio of solutions to determine feasibility of implementing a non-wires alternative solution.
Execution Risk	The expected ease of project implementation within the timeframe required for the non-wire alternative solution (e.g., permitting, construction risks, operating risks).
Qualifications	The relevant experience and success of Respondents in providing proposed solutions to other locations, including as indicated by reference checks and documented results.
Functionality	The extent to which the proposed solution would meet the defined functional requirements and the ability to provide demand reduction during the time and area of need.
Timeliness	The ability to meet Con Edison's schedule and project deployment requirements for the particular non-wires alternative opportunity, and also with a mind that the detailed project schedule from contract execution to implementation and completion of projects is important for determination of feasibility.
Community Impact	The positive or negative impact that the proposed solution may have on the community in the identified area (i.e., noise, pollution, etc.).
Applicability and Viability	The extent to which Respondent's proposed solution would fit into the needs of the targeted network(s), the customer segment of the targeted network(s) and the customer acquisition strategy. (Preliminary customer commitments from applicable customers will be highly desirable.)
Availability and Reliability	The ability of the proposed solution to provide permanent or temporary load relief will be considered, along with the dependability and benefits that would be provided to the grid.



Instructions to Respondent

A Respondent is strongly encouraged to submit a proposal in accordance with the summary instructions outlined in this section, with the proposal also to focus on the requirements of the Non-Wires Alternative Solutions Requirements section (and as well as a required submittal of a fully completed Non-Wires Alternative Solution Questionnaire (Attachment A) as a separate attachment), and such other requirements as may be stated throughout this RFP. Respondents are required to submit their bid response through the Company's Procurement System ("Oracle RFQ System"). Any limitation regarding Respondent's ability to supply information requested in this RFP (or to support or perform a particular function or service) should be explicitly stated in the proposal response. Any partnering with other solution providers to perform a particular function or service must be explicitly stated.

RFP Schedule

Below is the expected schedule to be followed for this solicitation:

RFP Solicitation Milestones	Completion Date*
RFP Issued	Week of April 24, 2017
Deadline to submit clarification Questions	May 5, 2017
Deadline to become enabled in Con Edison Procurement System	May 26, 2017
Responses to Clarification Questions Due	May 19, 2017
Qualified Respondents Proposals Due	June 23, 2017, 3PM EDT

^{*}Con Edison reserves the right to change any of the above dates.

Contact Information and Questions

All Respondents should direct questions during the clarification question timeframe via email to Michael Heaton, heatonm@coned.com, of Con Edison's Supply Chain Department. All questions and answers deemed essential for the viable submission of a bid response will be publicly posted at www.coned.com/nonwires. Respondent names will be kept confidential.

The Company will have no obligation to evaluate late submissions, nor be responsible in any way for any consequences associated with late submissions.



Proposal Submittal Instructions

All proposals must be submitted through the Oracle RFQ System on or prior to the due date and time. Respondents who fail to submit submissions by the due date and time will be locked out of the Oracle RFQ System and unable to submit submissions. Therefore, Respondents are encouraged to upload submissions well in advance of the closing time to avoid any potential issues that may occur, including due to unfamiliarity with the Oracle RFQ System, or otherwise. Respondents must take the following actions to ensure acceptance of a proposal submission:

- Download this Non-Wires Alternative RFP, Non-Wires Alternative Solutions Questionnaire (Attachment A), and Supplier Enablement Template
- 2. Become enabled in the Oracle RFQ System by submitting the below items to Michael Heaton at heatonm@coned.com
 - a. W-9 form (version last updated December 2014)
 - b. Supplier Enablement Template (Select CE Sourcing under Oracle responsibility field)
- 3. Receive Formal RFQ response request (will be same information downloaded from non-wires alternative website)
- 4. Submit response and fully completed questionnaire to Con Edison Procurement System

Proposal Response Format

The response shall include a proposal and the **Non-Wires Alternative Solution Questionnaire** (Attachment A). All tabs must be completely filled to the best of your ability.

Note: The Oracle RFQ System is only capable of accepting individual documents no larger than 5MB in size. Respondents may find it necessary to split up large documents into smaller files due to these system constraints.

The technical proposal response for this RFP shall be submitted as either a PowerPoint or a Word document, and shall be organized as follows:

Proposal Section	Proposal Section Title
N/A	Cover Letter
N/A	Respondent Checklist
N/A	Table of Contents
1	Executive Summary
2	Proposal
3	Respondent Qualifications and References
4	Assumptions and Exceptions
Separate Document (excel-based)	Non-Wires Alternative Solutions Questionnaire (Attachment A)



Cover Letter

The cover letter shall include the following:

- The legal name and address of Respondent
- The name, title and telephone number of the individual authorized to negotiate and execute the Agreement
- The signature of a person authorized to contractually bind Respondent's organization
- A statement that Respondent has read, understands, and agrees to all provisions of the RFP, or, alternately, indicating that exceptions will be taken to the RFP

Respondent Checklist

Respondent should provide to the Company the properly completed <u>Respondent Checklist (Appendix)</u> as part of the proposal.

Table of Contents

Include a clear identification of the proposal by section and by page number as identified above.

Executive Summary

In this section, Respondent should provide an executive overview and summary of the key features of Respondent's solution. In addition, Respondent should provide the following:

- Company profile information
- Highlight where Respondent has performed industry-specific work that is relevant to the <u>Non-Wires Alternative Project Description</u> and <u>Solution Requirements</u> identified in this RFP
- Any other information relevant to Respondent's solution for consideration

Proposal

This section is a response the <u>Non-Wires Alternative Solution Requirements</u> section above. The following information addresses major areas that shall be included in Respondent's proposal:

- Professional Background and Experience with Proposed Solution
- Proposed Solution Description
- Detailed Project Plan and Timeline to Implement Solution
- Detailed Costs Associated with Proposed Solution

Proposals may also identify areas not included in the Requirements that may be beneficial for consideration, along with rationale for why the additional recommendation(s) would better help achieve the required load reduction.

Assumptions & Exceptions

- Respondent should provide a list of assumptions made in developing the response to this RFP that should be considered when evaluating the response
- Respondent should provide a stand-alone section listing any exceptions to the RFP



Glossary of Terms

Respondent should provide a glossary of terms that is specific to Respondent's solution.

Non-Wires Alternative Solution Questionnaire

Respondent should attach the responses to the **Non-Wires Alternative Solution Questionnaire** (Attachment A), fully completed, with the RFP submittal.

RFP Terms and Conditions

It is solely the responsibility of each Respondent to ensure that all pertinent and required information is included in its submission. Con Edison reserves the right to determine at its sole discretion whether a submission is incomplete or non-responsive.

Respondents should state clearly all assumptions made with respect to this RFP. In the absence of an explicit statement to the contrary, each Respondent shall be deemed to have agreed with and understood the requirements of this RFP. While Con Edison has endeavored to provide accurate information, Con Edison makes no warranty or representation of accuracy.

Any exceptions to the terms, conditions, provisions, and requirements herein must be specifically noted and explained by Respondent in Respondent's response to this RFP. Con Edison will assume that any response to this RFP expressly accepts all the RFP terms, conditions, provisions and requirements, except as expressly and specifically stated by a Respondent in Respondent's response to this RFP.

Respondents agree to keep confidential all information provided by Con Edison in connection with this RFP.

Qualifications of Respondents

The Company may make such investigation as the Company deems necessary to determine the qualifications of Respondent and proposed subcontractors to perform the work. A Respondent should promptly furnish any information and data for this purpose as may be requested by the Company. The failure of a Respondent to produce timely information and data requested by the Company may provide a basis for rejection of the proposal.

Proprietary Information

If a proposal includes any proprietary data or information that a Respondent does not want disclosed to the public, such data or information must be specifically designated as such on each page on which it is found. Con Edison shall be held harmless from any claim arising from the release of proprietary information not clearly identified as such by a Respondent. Because of the need for public accountability, the following information regarding the proposal shall not be considered proprietary, even if such information is designated as such: pricing terms and non-financial information concerning compliance with RFP specifications.



Cost of proposal preparation

The cost of preparing a proposal in response to this RFP, including, but not limited to, the cost associated with site visits and preliminary engineering analysis, will not be reimbursed by Con Edison.

Right to Reject

This RFP shall not be construed to create an obligation on the part of Con Edison to enter into any contract, or to serve as a basis for any claim whatsoever for reimbursement of costs for efforts expended by Respondent. Furthermore, the scope of this RFP may be revised at the option of Con Edison at any time, or this RFP may be withdrawn or cancelled by Con Edison at any time. Con Edison shall not be obligated by any statements or representations, whether oral or written, that may be made by the Company, its employees, principals, or agents.

Con Edison reserves the right to accept any responsive proposal, to reject any and all proposals, and to waive irregularities or formalities if deemed to be in the best interests of the Company. Any such waiver shall not modify any remaining RFP requirements nor excuse any Respondent from full compliance with all other RFP specifications and contract requirements if the Respondent is awarded the contract. Con Edison shall reject the proposal of any Respondent that is determined not to be a responsible bidder, or whose proposal is determined by the Company to be non-responsive.

Con Edison reserves the right to withdraw this RFP at any time and for any reason, and to issue such clarifications, modifications, and/or amendments as it may deem appropriate. Receipt by the Company of a response to this RFP confers no rights upon a Respondent, nor any obligations upon the Company.

Revision to the RFP

Con Edison reserves the right to make changes to this RFP by issuance of one or more addenda or amendments and to distribute additional clarifying or supporting information relating thereto. Con Edison may ask any or all Respondents to elaborate or clarify specific points or portions of their submission. Clarification may take the form of written responses to questions or phone calls or inperson meetings for the purpose of discussing the RFP, the responses thereto, or both.

If it becomes necessary to clarify or revise this RFP, such clarification or addendum shall be issued by the Company by letter, email or written addendum to the RFP. Any RFP addendum shall be delivered by hand, certified mail, facsimile, e-mail or delivery by courier service which certifies delivery. Only those respondents that have already received the proposal documentation directly from the Company will be provided the clarification. Any addendum to, and/or clarification or revision of this RFP shall become part of this RFP and, if appropriate, part of the Agreement that derives from the RFP.

Basis of Proposal Award

Award of proposal shall be made to the most responsive and responsible respondent meeting the specifications, price and other factors considered, as determined by the Company, in its sole discretion. The proposal evaluation criteria are set forth within this RFP.



Duration of the Contract

The duration of the Agreement will be for a term specified in the project description, commencing upon the completion of construction unless otherwise provided herein. In the event that the Company determines not to proceed with the project, the successful respondent will be paid in accordance with the amounts as agreed by the Respondent and the Company.

Non-wires Alternatives Program Agreement

RFP awardees are expected to sign the Non-Wires Alternatives Program Agreement proposed by Con Edison. The current Agreement may be found at coned.com/nonwires under Related Information. See below for certain items to note in the Agreement, which do not necessarily reflect all of the items that could be important to a given Respondent.

Underperformance

Respondents should note that failure to deliver load relief committed to as part of any solution may result in liquidated damages to Con Edison as provided for by the contract between Respondent and Con Edison.

Security

Respondents are put on notice that if a Respondent's solution is selected, then Respondent will be required to furnish security to Con Edison that demonstrates, among other things, financial capability to pay liquidated damages in the event that the Respondent fails to satisfy its Load Reduction Guaranty during the period required.

Subcontracting and Assignment

No portion of the work associated with any project resulting from a successful response to this RFP by a Respondent may be delegated, subcontracted, assigned, or otherwise transferred without the prior written approval of the Company in each case.



Appendix: Respondent Checklist

The Respondent must provide the following checklist which must be properly completed with the proposal and submitted to the Company as part of the proposal.

Checklist Item	Initial
REVIEWED ALL RFP DOCUMENTS AND LAWS AND REGULATIONS THAT IN ANY MANNER MAY AFFECT COST, PROGRESS, OR PERFORMANCE	
FULLY COMPLETED PROPOSAL ADHERING TO THE FORMAT PROVIDED WITHIN THIS RFP	
ENABLED IN CON EDISON PROCUREMENT SYSTEM	
FULLY COMPLETED NON-WIRES ALTERNATIVE SOLUTION QUESTIONNAIRE (ATTACHMENT A)	
• Summary	
• Energy	
• Financials	
Additional Review Criteria	

NOTE: FAILURE TO COMPLY WITH RFP PROCESS, COMPLETION AND SUBMITTAL OF ALL THE ABOVE DOCUMENTS ON THE FORMS PROVIDED HEREIN, WILL RESULT IN A REJECTION OF YOUR BID.

By placing my initials in the boxes provided above, I acknowledge having read and that I understand fully all of the requirements, including with regard to each of the documents referenced herein.

RESPONDENT (SIGNATURE):
RESPONDENT (PRINT NAME):
DATE: